



Client Account Statements

Access via OEC Trader

November 15, 2013



Table of Contents

SUMMARY 3

INSTRUCTIONS..... 3

GLOSSARY 5

OUR STATEMENT FORMAT HAS FIVE MAIN SECTIONS.....5

1. *Trade Confirmations:*.....5

2. *Purchase and Sale:*5

3. *Journal Entries:*.....5

4. *Open Positions:*.....6

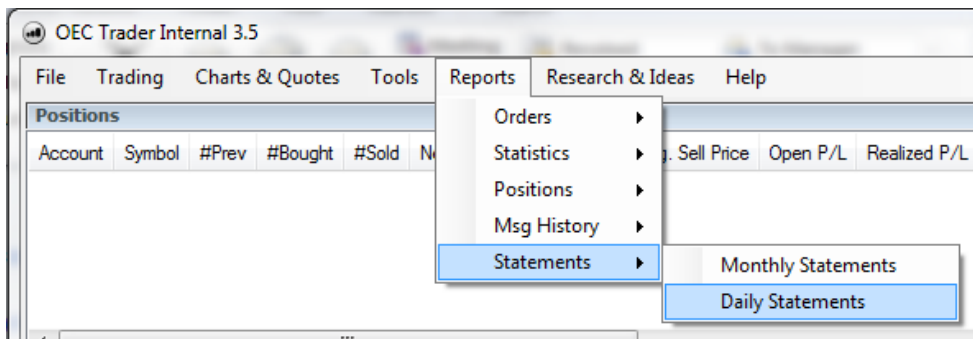
5. *Account Summary:*6

Summary

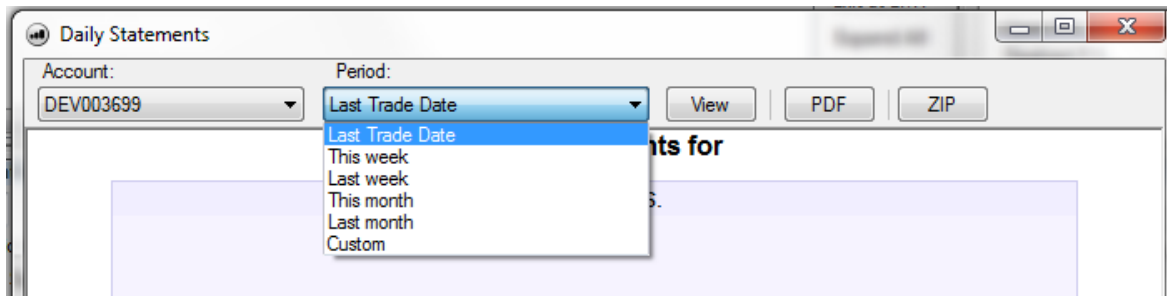
Client Statements are now accessible inside the trading platform, OEC Trader, via the Reports menu. Customers will be able to pull up to 90 days of Daily statements and year-to-date for Monthly statements. The amount of Monthly statements stored will be expanded at a later time.

Instructions

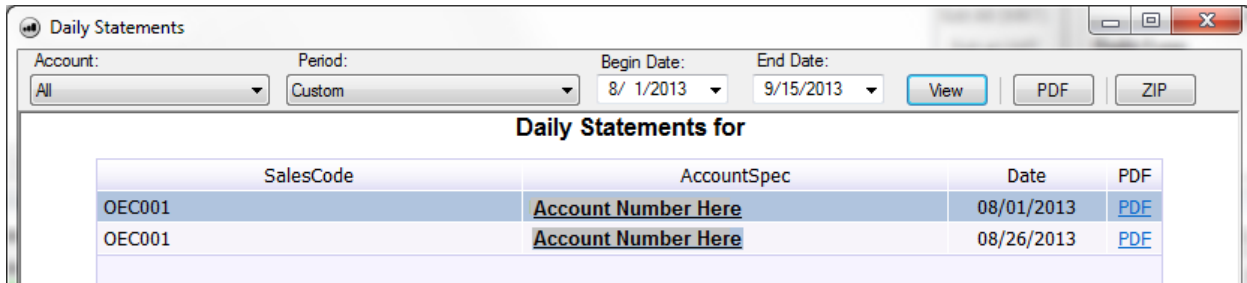
1. To access account statements, go to **Reports > Statements** and pick either Daily or Monthly Statements.



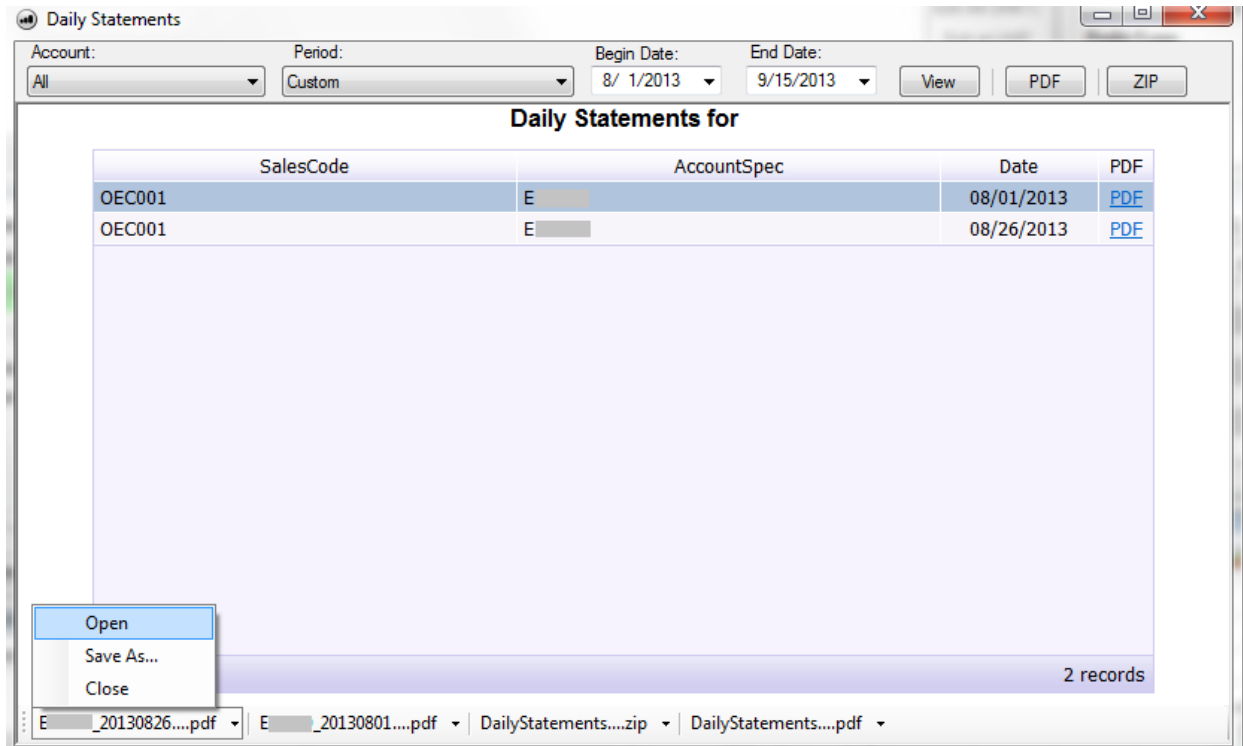
2. Select your account in the dropdown list or pick All for access to every account assigned to your username. Pick the period requested, choices are last trade date to last month, or pick a custom window.



- Click on the **View** button to review results of available statements in the window below. Alternatively, you can click on **PDF** or **ZIP** buttons to send all reports as a group, bundled file. In other words, the platform will assemble all results into one file.



- If the **View** button was clicked, each statement will have a **PDF** link to the right of the Trade date. Click on each link you wish to download as a separate statement.
- Statements that have been downloaded from our server will be placed in the lower tray. Click on the name of the items to open the PDF or ZIP file. Or, click on the black arrow to select **Open**, **Save As**, or **Close** to remove the item from the tray.





Glossary

Our statement format has five main sections.

1. **Trade Confirmations:** This is a listing of all future and option transactions made as of the date indicated.

TRADES CONFIRMATIONS									
THE FOLLOWING TRADES HAVE BEEN EXECUTED THIS DAY FOR YOUR ACCOUNT AND RISK.									
DATE	NUMBER	MARKET	BUY	SELL	CONTRACT DESCRIPTION	TRADE PRICE	CCY	DEBIT/CREDIT	
14-NOV-13	6425'	CBOT	1		MINI DJ future DEC 13	15791.00	USD		
14-NOV-13	6424'	CBOT		1	MINI DJ future DEC 13	15801.00	USD		
14-NOV-13	6427'	CBOT		1	MINI DJ future DEC 13	15836.00	USD		
TOTAL			1	2	EX- 20-DEC-13				
						Clearing	USD		3.42 DR
						NPA	USD		0.06 DR
						Commission	USD		2.25 DR
					AVERAGE LONG	15791.00000			
					AVERAGE SHORT	15818.50000			

2. **Purchase and Sale:** A listing of offsetting transactions and the resulting profit/loss information.

PURCHASE & SALE									
DATE	TRADE NUMBER	MARKET	BUY	SELL	CONTRACT DESCRIPTION	TRADE PRICE	CCY	DEBIT/CREDIT	
14-NOV-13	6424	CBOT		1	MINI DJ future DEC 13	15801.00			
14-NOV-13	6425	CBOT	1		MINI DJ future DEC 13	15791.00			
TOTAL			1	1	EX- 20-DEC-13	P&S	USD		50.00 CR

3. **Journal Entries:** A listing of all cash transactions affecting the account's ledger balance.

JOURNAL ENTRIES									
DATE	VALUE DATE	PAYMENTS TYPE	DESCRIPTION			REG CC	DEBIT/CREDIT		
14-NOV-13	14-NOV-13	Payments	Transfer from 03			01 USD	14	010.78	CR
14-NOV-13	14-NOV-13	Payments	Wire Sent			01 USD	18	776.34	DR
14-NOV-13	14-NOV-13	Payments	Wire Fee			01 USD		25.00	DR
TOTAL						01 USD	4	790.56	DR
14-NOV-13	14-NOV-13	Payments	Transfer to 01			03 USD	14	010.78	DR
TOTAL						03 USD	14	010.78	DR



4. **Open Positions:** A listing of all open positions with trade prices, current settlement prices and unrealized profits or losses. Securities on deposit and market value are also shown.

OPEN POSITIONS										
DATE	TRADE NUMBER	MARKET	LONG	SHORT	CONTRACT DESCRIPTION	PRICE	CCY	DEBIT/CREDIT		
13-SEP-13	6267	CME	1		E-MICRO EUR/USD DEC 13	1.3293	USD	202.50	CR	
13-SEP-13	6267	CME	1		E-MICRO EUR/USD DEC 13	1.3297	USD	197.50	CR	
13-SEP-13	6267	CME	2		E-MICRO EUR/USD DEC 13	1.3298	USD	392.50	CR	
05-NOV-13	6401	CME	1		E-MICRO EUR/USD DEC 13	1.3502	USD	58.75	DR	
TOTAL			5		EX 16-DEC-13	SETTLEMENT PRICE	1.3455	USD	733.75	CR
						AVERAGE LONG	1.33376			

5. **Account Summary:** All relevant financial totals are summarized by individual currency.

Account Summary as of 14-NOV-13

Processed exchanges : WCE, COMEX, MONEP, EUREX, NYMEX, CME, CBOT
 Non-processed exchanges :

	Reg. EUR	Reg. USD	Secured USD
SPOT RATE	1.34499000	1.00000000	1.00000000
PAYMENTS/RECEIPTS	3 042.00 DR	30.00 DR	0.00 CR
ACCOUNT CASH BALANCE	3.00 CR	83 607.37 CR	23.00 CR
REALIZED PROFIT/LOSS	0.00 CR	0.00 CR	0.00 CR
PREMIUMS	0.00 CR	0.00 CR	0.00 CR
COMMISSIONS & FEES	0.00 CR	0.00 CR	0.00 CR
NEW CASH BALANCE	3.00 CR	79 482.11 CR	23.00 CR
OPEN TRADE EQUITY	0.00 CR	733.75 CR	0.00 CR
TOTAL EQUITY	3.00 CR	80 215.86 CR	23.00 CR
OPTION MARKET VALUE	0.00 CR	0.00 CR	0.00 CR
LONG OPTION VALUE	0.00 CR	0.00 CR	0.00 CR
SHORT OPTION VALUE	0.00 CR	0.00 CR	0.00 CR
NET LIQUIDATING VALUE	3.00 CR	80 215.86 CR	23.00 CR
INITIAL MARGIN	0.00 CR	1 375.00 DR	0.00 CR
MAINTENANCE MARGIN	0.00 CR	1 250.00 DR	0.00 CR
RISK MAINTENANCE	0.00 CR	1 250.00 DR	0.00 CR
RISK INITIAL	0.00 CR	1 375.00 DR	0.00 CR
COLLATERAL USED	0.00 CR	0.00 CR	0.00 CR
MARGIN DEFAULT/EXCESS	3.00 CR	78 840.86 CR	23.00 CR
			.../...



All currencies will be summarized in US dollars, this is what we call Base Currency USD.

	BASE CURRENCY USD	
SPOT RATE	1.00000000	
PAYMENTS/RECEIPTS	4 121.46	DR
ACCOUNT CASH BALANCE	83 634.40	CR
REALIZED PROFIT/LOSS	0.00	CR
PREMIUMS	0.00	CR
COMMISSIONS & FEES	0.00	CR
NEW CASH BALANCE	79 509.14	CR
OPEN TRADE EQUITY	733.75	CR
TOTAL EQUITY	80 242.89	CR
OPTION MARKET VALUE	0.00	CR
LONG OPTION VALUE	0.00	CR
SHORT OPTION VALUE	0.00	CR
NET LIQUIDATING VALUE	80 242.89	CR
INITIAL MARGIN	1 375.00	DR
MAINTENANCE MARGIN	1 250.00	DR
RISK MAINTENANCE	1 250.00	DR
RISK INITIAL	1 375.00	DR
COLLATERAL USED	0.00	CR
MARGIN DEFAULT/EXCESS	78 867.89	CR